



7

03 CO

PATENT
514413-3886

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Sprunck et al
U.S. Serial No. : 09/899,718
Filing Date : July 5, 2001
For : PROMOTERS FOR GENE EXPRESSION IN
CARYOPSES OF PLANTS
Group Art Unit : ~~N/A~~ 1636

745 Fifth Avenue
New York, New York 10151

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on March 1, 2002

William F. Lawrence, Registration No. 28,029

Name of Applicant, Assignee or
Registered Representative

Signature
March 1, 2002

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are copies of publications the subject matters
of which are mentioned in the specification for the Examiner's
review:

1. Plant Molecular Biology 22, 67-82, 1993, Ainsworth
et al, "Expression, Organisation and Structure of the genes

encoding the *Waxy* Protein (granule-bound starch synthase) in Wheat;

2. Block, Martina, "Isolierung, Charakterisierung and Expressionsanalysen von Stärkesynthase-Genen aus Weizen (*Triticum aestivum* L.);

3. AB 008794, Mol. Biol. Evol. 15, (8), 978-987, (1998);

4. AB 008795, Mol. Biol. Evol. 15 (8), 978-987 (1998);

5. AJ 006294 "Antirrhinum majus promoter for waxy gene";

6. X07931 "Barley DNA for waxy locus encoding starch synthase", Nucleic Acids Research, 16, (14B), 7185-7186 (1988);

7. Mol. Gen. Genet. (1991), 228; 240-248, van der Leij et al, "Sequence of the Structural Gene for Granule-bound Starch Synthase of Potato (*Solanum tuberosum* L.) and Evidence for a Single Point Deletion in the *amf* allele";

8. Mol. Gen. Genet. (1986), 203; 237-244, Klösgen et al, "Molecular Analysis of the *Waxy* Locus of *Zea Mays*";

9. X58453, "Potato Gene for Granule-Bound Starch Synthase; Mol. Gen. Genet 228, (1-2), 240-248 (1991); Plant Mol. Biol. 20 (1), 19-30, (1992); Plant J. 10 (6), 981-991, (1996);

10. Nucleic Acids Research, Vol. 16, Number 14, 1988,

PATENT
514413-3886

"Structural Analysis of the *waxy* Locus from *Hordeum Vulgare*,
Rohde et al, Accession Nos. X07931, X07932;

11. Plant Molecular Biology 16, 1099-1101, 1991, Clark
et al, "Nucleic Sequence of a Wheat (*Triticum Aestivum* L.) cDNA
Clone Encoding the *Waxy* Protein";

12. "Plant Molecular Biology 20, 19-30, 1992, van der
Steege et al, "Potato Granule-Bound Starch Synthase Promoter-
Controlled GUS Expression: Regulation of Expression After
Transient and Stable Transformation;

13. Hirano et al, pp. 978-987, "A Single Base Change
Altered the Regulation of the *Waxy* Gene at the
Posttranscriptional Level During the Domestication of Rice".

We have enclosed a copy of PTO-1449 in duplicate which
is considered part of the Information Disclosure Statement.

This Information Disclosure Statement is being submitted
prior to receipt of a first Office Action, so no fee is deemed
necessary. However, if a fee is required, the Examiner is hereby
authorized to charge our Deposit Account 50-0320.

PATENT
514413-3886

Applicant respectfully requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1449 be initialed by the Examiner and returned to the undersigned.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants



William F. Lawrence
Registration No. 28,029
745 Fifth Avenue
New York, New York 10151
(212) 588-0800